

**WEST**

Generate Collection

Print

L1: Entry 5 of 6

File: USPT

Mar 17, 1998

US-PAT-NO: 5728379

DOCUMENT-IDENTIFIER: US 5728379 A

TITLE: Tumor- or cell-specific herpes simplex virus replication

DATE-ISSUED: March 17, 1998

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Martuza; Robert L.	Chevy Chase	MD		
Rabkin; Samuel D.	Bethesda	MD		
Miyatake; Shin-ichi	Ohtsu			JP

US-CL-CURRENT: 424/93.2; 435/320.1, 435/456

## CLAIMS:

What is claimed is:

1. A replication-competent herpes simplex virus comprising a tumor-specific ~~one~~ tissue-specific or cell-specific transcriptional regulatory sequence that is operatively linked to an essential herpes simplex virus gene, transcriptional regulatory sequence that is operatively linked to an essential herpes simplex virus gene, wherein said transcriptional regulatory sequence effects expression of said gene in a specific tumor, tissue or cell, such that said virus replicates only in said tumor, tissue or cell.

2. A herpes simplex virus vector, wherein the genome of said viral vector contains a tumor-specific or tissue-specific or cell-specific transcriptional regulatory sequence that is operatively linked to an essential herpes simplex virus gene, wherein said transcriptional regulatory sequence effects expression of said gene in a specific tumor, tissue or cell, such that said virus replicates only in said tumor, tissue or cell.

3. A method for killing tumor cells in a subject, comprising the step of administering to said tumor cells a pharmaceutical composition that is comprised of

(A) a herpes simplex virus that contains a tumor-specific promoter that is operatively linked to an essential herpes simplex virus gene; and

(B) a pharmaceutically acceptable vehicle for said virus, such that said tumor cells are infected in situ by said virus, whereby said tumor cells are killed.

4. The method of claim 3, wherein said tumor cells are of a type selected from the group consisting of melanoma cells, pancreatic cancer cells, prostate carcinoma cells, breast cancer cells, lung cancer cells, colon cancer cells, lymphoma cells, hepatoma cells, mesothelioma and epidermoid carcinoma cells.

5. A method for preparing a tumor-specific or tissue-specific or cell-specific replication-competent herpes simplex virus, said method comprising the step of:

permanently altering the genome of a herpes simplex virus so that the virus (1) kills tumor cells and (2) lacks general virulence against normal cells and (3) contains a tumor-specific or tissue-specific or cell-specific transcriptional regulatory sequence that is operatively linked to an essential herpes simplex virus gene.

6. The method of claim 5, wherein said herpes simplex virus is HSV-1.

7. The method of claim 5, wherein said herpes simplex virus is HSV-2.

8. A method for ablating specific normal cells in a subject, comprising the step of administering to said cells a pharmaceutical composition composed of

(A) a herpes simplex virus that contains a tissue-specific or cell-specific transcriptional regulatory sequence that is operatively linked to an essential herpes simplex virus gene, wherein said transcriptional regulatory sequence effects expression of said gene in a specific tissue or cell, such that said virus replicates only in said tissue or cell; and

(B) a pharmaceutically acceptable vehicle for said virus, such that said specific normal cells are infected in situ by said virus, whereby said cells are killed.

9. The method of claim 8, wherein said normal cells are pituitary cells and said cell-specific transcriptional regulatory sequence is the growth hormone promoter.

10. The method of claim 8, wherein said normal cells are adrenocortical cells and said cell-specific transcriptional regulatory sequence is the Pro-opiomelanocortin promoter.

11. A method for killing tumor cells in a subject, comprising the steps of administering to said tumor cells a herpes simplex virus, wherein said virus comprises a tumor cell-specific transcriptional regulatory sequence wherein said transcriptional regulatory sequence controls expression of at least one viral protein necessary for viral replication and wherein said transcriptional regulatory sequence in said virus is induced selectively so that said virus replicates in the tumor cells at a level that is at least about two log orders higher than in normal cells, whereby said tumor cells are killed.

12. The replication-competent herpes simplex virus of claim 1, wherein said essential herpes simplex virus gene is an HSV immediate-early gene.

13. The replication-competent herpes simplex virus of claim 12, wherein said HSV immediate-early gene is the ICP4 gene.

## WEST Search History

DATE: Friday, December 13, 2002

<u>Set Name</u> side by side	<u>Query</u>	<u>Hit Count</u>	<u>Set Name</u> result set
<i>DB=DWPI; PLUR=YES; OP=ADJ</i>			
L2	Pyles R B.in.	1	L2
<i>DB=USPT; PLUR=YES; OP=ADJ</i>			
L1	Martuza Robert	6	L1

END OF SEARCH HISTORY

**WEST**

Generate Collection

Print

L15: Entry 1 of 3

File: DWPI

Oct 24, 2002

DERWENT-ACC-NO: 2002-537524

DERWENT-WEEK: 200273

COPYRIGHT 2002 DERWENT INFORMATION LTD

TITLE: Expressing heterologous nucleic acid sequence in a vascular cell for treating cardiovascular diseases, involves administering to the cell a genetically engineered herpes simplex viral vector comprising the sequence

INVENTOR: ROIZMAN, B; SCHWARTZ, L B ; WEICHSELBAUM, R R

PRIORITY-DATA: 2000US-253680P (November 28, 2000), 2001US-0995475 (November 28, 2001)

## PATENT-FAMILY:

PUB-NO	PUB-DATE	LANGUAGE	PAGES	MAIN-IPC
US 20020155432 A1	October 24, 2002		000	C12Q001/70
WO 200245431 A2	June 6, 2002	E	114	C12N000/00
AU 200217885 A	June 11, 2002		000	H04N007/173

INT-CL (IPC): A61 K 39/12; A61 K 39/245; A61 K 39/255; A61 K 39/265; A61 K 39/27; C12 N 0/00; C12 N 15/00; C12 N 15/09; C12 N 15/63; C12 N 15/70; C12 N 15/74; C12 P 21/06; C12 Q 1/70; H04 N 7/173

ABSTRACTED-PUB-NO: WO 200245431A

## BASIC-ABSTRACT:

NOVELTY - Expressing (M) a heterologous nucleic acid sequence in a vascular cell, or inducing normal physiology in a functionally abnormal vascular cell, comprising administering to the cell a recombinant replicating herpes simplex viral (HSV) vector having a heterologous nucleic acid, where HSV is debilitated for growth in the central nervous system.

ACTIVITY - Cardiant; Antiarrhythmic; Vasotropic.

To demonstrate in vivo gene transfer in proliferating vascular tissue, the external jugular vein of male New Zealand white rabbits was exposed to vehicle, HSVlacZ (R849), or adeno-associated virus (AAV)lacZ. Male New Zealand white rabbits were anesthetized, the external jugular vein was exposed and two branches cannulated with 24-gauge catheters. One cannula was used for irrigation and infection, and the other for intraluminal pressure monitoring. The main channel was infected with either vehicle, AAVlacZ 4 multiply 10<sup>11</sup> plaque forming units (pfu)/ml, or HSVlacZ (R849) 4 multiply 10<sup>8</sup> pfu/ml for 10 minutes at 100 mmHg. Following infection, the vein was irrigated with saline, excised and bivalved. The ipsilateral common carotid artery (CCA) was exposed through the same incision and the animal systemically anticoagulated with heparin (200 U/kg) intravenously. The CCA was doubly clamped and a 1.5-cm longitudinal arteriotomy made proximal to the cranial thyroid branch. The arteriotomy was reconstructed with external jugular vein patch angioplasty using running 8-0 polypropylene suture. Ultrasonic transit-time flow through the graft was measured. There was no significant difference in mean blood flow in vehicle-treated vs. Viral-infected patches at time of implantation. The incision was closed and the animal was allowed to recover. After four weeks, the vein patches were harvested and assessed for patency and beta -galactosidase expression using X gal. Intraarterial pressure and blood flow through the patch were again measured and recorded. All vein patches that had been exposed to HSVlacZ showed significant beta -galactosidase expression in all layers of the vein wall at 4 weeks after exposure, especially within the smooth muscle cells comprising the neointima (48

plus or minus 2 % infection efficiency). In contrast, patches infected with AAVlacZ showed inconsistent transgene expression, mostly confined to the adventitia. Expression was not evident in the vehicle-exposed patches or in any harvested external jugular veins or CCAs contralateral to an HSVlacZ (R849)-infected vein patch or an AAV-infected vein patch.

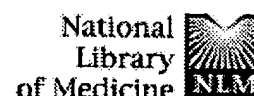
MECHANISM OF ACTION - Gene therapy.

USE - (M) is useful for expressing a heterologous nucleic acid sequence encoding a polypeptide such as antiproliferative polypeptide, vasodilatory polypeptide, and an angiogenic polypeptide, an antisense oligonucleotide or antisense polynucleotide complementary to the polypeptide in a vascular cell, such as endothelial cell, smooth muscle cell or adventitial cell. (M) is also useful for inducing normal physiology in a functionally abnormal vascular cell. (M) is useful for treating or preventing a cardiovascular disease or condition such as chronic heart failure, hypertensive cardiovascular disease, ischemic heart disease, arrhythmia, congenital heart disease, valvular heart disease or stenotic defect, cardiomyopathy, aneurysm, chronic venous insufficiency, peripheral arterial disease or restenosis, in a vascular cell. The heterologous nucleic acid sequence is expressed in vascular tissue for a duration of more than 7, 14, 21, 28, 35 or 70 days. The heterologous nucleic acid sequence encodes a screenable or selectable marker, an antithrombotic nucleic acid, angiogenesis regulating nucleic acid, immunomodulator, inducer of cellular proliferation, inhibitor of cellular proliferation or a regulator or programmed cell death. The method further comprises administering at least one pharmacological agent such as antihyperlipoproteinemic agent, antiarteriosclerotic agent, antithrombotic/fibrinolytic agent, blood coagulant, antiarrhythmic agent, antihypertensive agent, vasopressor, treatment agent for congestive heart failure, antianginal agent, anti-infection agent, to the vascular cell. (All claimed).

ADVANTAGE - (M) provides therapeutic benefit both in vascular and cardiovascular tissue.

ABSTRACTED-PUB-NO: WO 200245431A  
EQUIVALENT-ABSTRACTS:

CHOSEN-DRAWING: Dwg.0/0



PubMed	Nucleotide	Protein	Genome	Structure	PopSet	Taxonomy	OMIM	Bo
Search	PubMed	for					Go	Clear
Limits		Preview/Index		History		Clipboard		Details

Display	Summary	Show: 20	Sort	Send to	File
Items 1-20 of 360			Page 1 of 18 Next		

Entrez PubMed

PubMed Services

Related Resources

- ☐ **1:** Skelly CL, Curi MA, Meyerson SL, Woo DH, Hari D, Vosicky JE, Advani SJ, Mauceri HJ, Glagov S, Roizman B, Weichselbaum RR, Schwartz LB. [Related Articles, Links](#)  
Prevention of restenosis by a herpes simplex virus mutant capable of controlled long-term expression in vascular tissue in vivo.  
Gene Ther. 2001 Dec;8(24):1840-6.  
PMID: 11821937 [PubMed - indexed for MEDLINE]
- ☐ **2:** Reis E, Martinet O, Mosimann F. [Related Articles, Links](#)  
[Treatment of intimal hyperplasia by gene therapy: an update]  
J Mal Vasc. 1999 Dec;24(5):349-55. Review. French.  
PMID: 10642646 [PubMed - indexed for MEDLINE]
- ☐ **3:** Allen KE, Varty K, Jones L, Sayers RD, Bell PR, London NJ. [Related Articles, Links](#)  
Human venous endothelium can promote intimal hyperplasia in a paracrine manner.  
J Vasc Surg. 1994 Apr;19(4):577-84.  
PMID: 8164272 [PubMed - indexed for MEDLINE]
- ☐ **4:** Davies MG, Hagen PO. [Related Articles, Links](#)  
Pathophysiology of vein graft failure: a review.  
Eur J Vasc Endovasc Surg. 1995 Jan;9(1):7-18. Review.  
PMID: 7664016 [PubMed - indexed for MEDLINE]
- ☐ **5:** Davies MG, Barber L, Dalen H, Svendsen E, Hagen PO. [Related Articles, Links](#)  
Control of the structural and functional consequences of vein graft intimal hyperplasia with a 21-aminosteroid--U74389G.  
Eur J Vasc Surg. 1994 Jul;8(4):448-56.  
PMID: 8088396 [PubMed - indexed for MEDLINE]
- ☐ **6:** George SJ, Angelini GD, Capogrossi MC, Baker AH. [Related Articles, Links](#)  
Wild-type p53 gene transfer inhibits neointima formation in human saphenous vein by modulation of smooth muscle cell migration and induction of apoptosis.  
Gene Ther. 2001 May;8(9):668-76.  
PMID: 11406761 [PubMed - indexed for MEDLINE]

- ☐ **7:** Bryan AJ, Angelini GD. Related Articles, Links  
The biology of saphenous vein graft occlusion: etiology and strategies for prevention.  
Curr Opin Cardiol. 1994 Nov;9(6):641-9. Review.  
PMID: 7819622 [PubMed - indexed for MEDLINE]
- ☐ **8:** George SJ, Baker AH, Angelini GD, Newby AC. Related Articles, Links  
Gene transfer of tissue inhibitor of metalloproteinase-2 inhibits metalloproteinase activity and neointima formation in human saphenous veins.  
Gene Ther. 1998 Nov;5(11):1552-60.  
PMID: 9930309 [PubMed - indexed for MEDLINE]
- ☐ **9:** Porter KE, Varty K, Jones L, Bell PR, London NJ. Related Articles, Links  
Human saphenous vein organ culture: a useful model of intimal hyperplasia?  
Eur J Vasc Endovasc Surg. 1996 Jan;11(1):48-58.  
PMID: 8564487 [PubMed - indexed for MEDLINE]
- ☐ **10:** Chikada M, Jones M. Related Articles, Links  
Study of gene delivery in a rabbit vein graft model. Improvement of the efficiency of gene transfer into vein grafts.  
Jpn J Thorac Cardiovasc Surg. 1999 May;47(5):204-9.  
PMID: 10402767 [PubMed - indexed for MEDLINE]
- ☐ **11:** Holt CM, Francis SE, Newby AC, Rogers S, Gadsdon PA, Taylor T, Angelini GD. Related Articles, Links  
Comparison of response to injury in organ culture of human saphenous vein and internal mammary artery.  
Ann Thorac Surg. 1993 Jun;55(6):1522-8.  
PMID: 8512406 [PubMed - indexed for MEDLINE]
- ☐ **12:** Reis ED, Skladany M. Related Articles, Links  
Update on gene therapy for intimal hyperplasia.  
Bratisl Lek Listy. 1999 Aug;100(8):417-21. Review.  
PMID: 10645028 [PubMed - indexed for MEDLINE]
- ☐ **13:** Angelini GD, Jeremy JY. Related Articles, Links  
Towards the treatment of saphenous vein bypass graft failure--a perspective of the Bristol Heart Institute.  
Biorheology. 2002;39(3-4):491-9. Review.  
PMID: 12122271 [PubMed - indexed for MEDLINE]
- ☐ **14:** Wilson YG, Davies AH, Southgate K, Currie IC, Sheffield E, Baird RN, Lamont PM, Angelini GD. Related Articles, Links  
Vein quality influences neointimal hyperplasia in an organ culture model of human saphenous vein.  
Eur J Vasc Endovasc Surg. 1997 Jun;13(6):557-62.  
PMID: 9236708 [PubMed - indexed for MEDLINE]
- ☐ **15:** Yasumoto H, Kim S, Zhan Y, Miyazaki H, Hoshiga M, Kaneda Y, Morishita R, Iwao H. Related Articles, Links

**Dominant negative c-jun gene transfer inhibits vascular smooth muscle cell proliferation and neointimal hyperplasia in rats.**

Gene Ther. 2001 Nov;8(22):1682-9.

PMID: 11892835 [PubMed - indexed for MEDLINE]

- ☐ **16:** [Ohno N](#), [Itoh H](#), [Ikeda T](#), [Ueyama K](#), [Yamahara K](#), [Doi K](#), [Yamashita J](#), [Inoue M](#), [Masatsugu K](#), [Sawada N](#), [Fukunaga Y](#), [Sakaguchi S](#), [Sone M](#), [Yurugi T](#), [Kook H](#), [Komeda M](#), [Nakao K](#). [Related Articles](#), [Links](#)

Accelerated reendothelialization with suppressed thrombogenic property and neointimal hyperplasia of rabbit jugular vein grafts by adenovirus-mediated gene transfer of C-type natriuretic peptide.

Circulation. 2002 Apr 9;105(14):1623-6.

PMID: 11940536 [PubMed - indexed for MEDLINE]

- ☐ **17:** [Mann MJ](#), [Gibbons GH](#), [Kernoff RS](#), [Diet FP](#), [Tsao PS](#), [Cooke JP](#), [Kaneda Y](#), [Dzau VJ](#). [Related Articles](#), [Links](#)

Genetic engineering of vein grafts resistant to atherosclerosis.

Proc Natl Acad Sci U S A. 1995 May 9;92(10):4502-6.

PMID: 7753833 [PubMed - indexed for MEDLINE]

- ☐ **18:** [Zou J](#), [Huang Y](#), [Cao K](#), [Yang G](#), [Yin H](#), [Len J](#), [Hsieh TC](#), [Wu JM](#). [Related Articles](#), [Links](#)

Effect of resveratrol on intimal hyperplasia after endothelial denudation in an experimental rabbit model.

Life Sci. 2000 Dec 1;68(2):153-63.

PMID: 11191634 [PubMed - indexed for MEDLINE]

- ☐ **19:** [Davies MG](#), [Klyachkin ML](#), [Barber L](#), [Svendsen E](#), [Hagen PO](#). [Related Articles](#), [Links](#)

Ramipril and experimental vein graft intimal hyperplasia.

Angiology. 1995 Feb;46(2):91-7.

PMID: 7702205 [PubMed - indexed for MEDLINE]

- ☐ **20:** [Wilson YG](#), [Davies AH](#), [Southgate K](#), [Currie IC](#), [Knight D](#), [Patton D](#), [Baird RN](#), [Lamont PM](#), [Angelini GD](#). [Related Articles](#), [Links](#)

Influence of angioscopic vein graft preparation on development of neointimal hyperplasia in an organ culture model of human saphenous vein.

J Endovasc Surg. 1996 Nov;3(4):436-44.

PMID: 8959504 [PubMed - indexed for MEDLINE]

Display	Summary	Show: 20	Sort	Send to	File
Items 1-20 of 360			Page 1	of 18 <a href="#">Next</a>	

[Write to the Help Desk](#)  
[NCBI](#) | [NLM](#) | [NIH](#)  
[Department of Health & Human Services](#)  
[Freedom of Information Act](#) | [Disclaimer](#)

i686-pc-linux-gnu Dec 5 2002 17:42:24



(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
6 June 2002 (06.06.2002)

PCT

(10) International Publication Number  
**WO 02/45431 A2**

(51) International Patent Classification<sup>7</sup>: **H04N 7/173**

(21) International Application Number: PCT/US01/44279

(22) International Filing Date:  
28 November 2001 (28.11.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/253,680 28 November 2000 (28.11.2000) US

(71) Applicant: **THE UNIVERSITY OF CHICAGO**  
[US/US]; Office of Intellectual Property and Technology  
Transfer, 5640 South Ellis Street, Suite 405, Chicago, IL  
60637 (US).

(72) Inventors: **SCHWARTZ, Lewis, B.**; 510 N. Clay Street,  
Hinsdale, IL 60521-3214 (US). **WEICHSELBAUM,**  
**Ralph, R.**; 1909 N Burlington Street, Chicago, IL  
60614-5123 (US). **ROIZMAN, Bernard**; 5555 S. Liverett  
Street, Chicago, IL 60637-1968 (US).

(74) Agent: **CAWLEY, Thomas, A., Jr.**; Marshall, Gerstein &  
Borun, 6300 Sears Tower, 233 S. Wacker Drive, Chicago,  
IL 60606 (US).

(81) Designated States (*national*): AF, AG, AI, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,  
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,  
GM, IIR, IU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,  
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,  
MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG,  
SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU,  
ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),  
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR,  
GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent  
(BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR,  
NE, SN, TD, TG).

**Published:**

— without international search report and to be republished  
upon receipt of that report

*For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.*

WO 02/45431 A2

(54) Title: GENETICALLY ENGINEERED HERPES VIRUS FOR THE TREATMENT OF CARDIOVASCULAR DISEASE

(57) Abstract: The present invention provides methods of expressing a nucleic acid or producing a proteinaceous composition encoded by a nucleic acid in vascular and cardiovascular cells by administration of a herpesvirus vector. The present invention provides methods of producing a therapeutic benefit in vascular and cardiovascular tissue by administration of a herpesvirus vector. In addition, the invention concerns combination therapies for vascular and cardiovascular diseases comprising administration of a herpesvirus vector and treatment with at least one additional pharmacological agent or surgical procedure.